

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/891,670	06/26/2001	Heinrich Franz Bartosik	000040

CONFIRMATION NO. 9721

## FORMALITIES LETTER



\*OC000000006429070\*

Jack E. Haken  
c/o U.S. PHILIPS CORPORATION  
Intellectual Property Department  
580 White Plains Road  
Tarrytown, NY 10591

Date Mailed: 08/15/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice MUST be returned with the reply.*

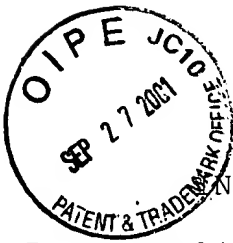
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/28/2001 WABRHAM1 00000035 141270 09891670

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket No.

BARTOSIK

AT000040

Serial No.: 09/891,670

Group Art Unit: 2641

Filed: June 26, 2001

Title: RECORDING APPARATUS FOR RECORDING SPEECH INFORMATION FOR A  
SUBSEQUENT OFF-LINE SPEECH RECOGNITION

Honorable Commissioner for Patents  
Washington, D.C. 20231

ATTENTION: APPLICATION DIVISION

IN RESPONSE TO FILE MISSING  
PARTS OF APPLICATION

Sir:

In response to the NOTICE TO FILE MISSING PARTS OF APPLICATION mailed on August 15, 2001 enclosed is a Declaration, properly signed by the Applicant and referring to the above case by its Serial Number and filing date, in compliance with 37 CFR 1.63, and a copy of the Notice. Accordingly, the above-identified patent application is now complete.

Please charge Deposit Account No. 14-1270 in the amount of \$130.00 for the surcharge for filing the Declaration on a date later than the filing date of the application, as set forth in 37 CFR 1.16(e).

CERTIFICATE OF MAILING
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Commissioner of Patents and Trademarks Washington, D.C. 20231
Date: <u>Sept. 25, 2001</u>
By: <u>Elissa DeLucy</u>

Respectfully submitted,

By *[Signature]*  
Tony E. Piotrowski, Reg. 42,080  
Attorney  
(914) 333-9609

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